If you are confronted with a situation where you need to speak your mind about a possible patient safety situation, will you be able to do it effectively? This question was posed to the audience by Dr. Jeffrey Cooper, the organizer of this year’s annual APSF Board of Directors workshop. Although there are not empirical data to confirm how often such situations occur, Dr. Cooper reminded the audience about 2 tragic accidents in which a failure to speak up at all or to speak up effectively clearly contributed to these events. He and the 2 other workshop faculty, Drs. David Gaba and Robert Caplan, went on to lead a highly interactive workshop, unusual in the way this important patient safety topic was presented.

The audience was introduced to an example of a challenging situation. A surgeon and an anesthesiologist, played by Drs. Robert Morell and Casey Blitt, respectively, appeared on the stage and enacted a scripted “mini-play” that illustrated an event that is similar to what most anesthesia professionals encounter periodically in the course of caring for their patients. The case involved an otherwise healthy 62-year-old, hypertensive male scheduled for 4 level spinal fusion in the prone position with an estimated OR time of 8 hours. The scenario began with the anesthesiologist placing a telephone call to the surgeon on the Friday preceding the case scheduled for Monday.

During their first conversation, the anesthesiologist tried to raise his concerns about the surgeon’s request for deliberate hypotension. The anesthesiologist wanted to advise the patient of the risk of postoperative vision loss. The surgeon pushed back, without clearly explaining why. The anesthesiologist gave in, dropping his concerns about the surgeon’s request and the rationale for discussing the risks and benefits of deliberate hypotension with the patient.

After this first play, audience members had a chance to share their own experiences with these kinds of situations and how they deal with them.

Next, the scenario was repeated, but this time the spoken conversation was supplemented with “asides” to the audience. These “asides” were the private thoughts of the surgeon and anesthesiologist in this simulated drama. For example, the anesthesiologist, who hadn’t worked with this surgeon before, had heard from others that he was not one to accept disagreement. The anesthesiologist was concerned about avoiding conflict. The surgeon, in his private thoughts, felt that the anesthesiologist was being overly cautious and might unintentionally scare the patient from having the surgery, which he felt was strongly indicated.

Dr. Caplan next presented to the audience 3 brief stories that illustrated the speaking-up paradigm. The first involved what became a much-publicized case in which a patient died after injection of chlorhexidine in place of contrast media. Retrospectively, all members of the care team learned that they had opportunities to break the chain of error and prevent the mistaken injection, just by speaking up.

In another story, an anesthesiologist used effective advocacy to convince the surgeon to change his practice of shaving the surgical site and use the new protocol, which is known to have a lower infection rate.

In the third example, a nurse spoke up and voiced her concern about an inappropriate order for chemotherapy from an oncologist. When he rebuffed the nurse’s concern, she asked for advice from the

Dr. Jeffrey Cooper, organizer of the APSF Workshop, opens this highly interactive session at the 2012 ASA meeting in Washington, DC.

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APSF-Sponsored Conference on Perioperative Visual Loss Develops Consensus Conclusions

by Lorri A. Lee, MD, and Robert K. Stoelting, MD

On September 12, 2012, the APSF sponsored a multidisciplinary conference, Perioperative Visual Loss: Who is at risk, What should we tell patients preoperatively, and How should we manage their intraoperative care?

The goals of this Perioperative Visual Loss (POVL) conference were to

- Assure that current management reflects evolving information and understanding of “best practices” for patients at risk for POVL.
- Create a “participant-developed, moderator-led statement of safety recommendations (“best practices”) for managing patients considered at risk for POVL.

The 87 attendees included

- Anesthesia professionals (n-74) (anesthesiologists, CRNAs, AAs)
- Other physicians (n-4) (orthopedic surgeon, neurosurgeon, neuro-ophthalmologist, robotic surgeon)
- Non-physicians (n-8) (2 risk managers, an anesthesia researcher, professional liability insurer, practice administrator, pharmacist, Veterans Administration Hospitals and APSF staff)
- Industry (n-1).

The anesthesia professionals included 13 attendees who had participated in the Postoperative Visual Loss Study Group (Anesthesiology 2012;116:15-24). Several of the other attendees participated in or represented organizations that supported the most recent American Society of Anesthesiologists Practice Advisory for Perioperative Visual Loss Associated with Spine Surgery (Anesthesiology 2012;116:274-285).

The POVL conference included podium presentations, panel discussions, small group breakout sessions, and completion near the end of the conference (following all podium presentations and panel discussions) of a questionnaire/survey (http://www.apsf.org/announcements.php?id=12).

For the initial organizational purposes of the conference, POVL was assumed to be due to ischemic optic neuropathy (ION) or central retinal artery occlusion (CRAO). High-risk patients for ION were defined as those undergoing spine procedures while positioned prone and who had prolonged procedures (exceeding an average of 6.5 hours) and experienced substantial blood loss (an average of 44.7% of the estimated blood volume), or both (Anesthesiology 2012;116:274-282). A similar definition with regard to operative duration was arbitrarily applied by the

APSF to head-down (degree of head-down not definable) robotic/laparoscopic surgeries.

An overwhelming theme of the conference presentations, panel discussions, and small group breakout sessions as well as the questionnaire/survey was the importance of including the risk of POVL caused by ION in the informed consent process. For patients considered to be at risk of POVL caused by ION, anesthesia professionals and surgeons should include discussion of the remote risk of visual impairment, ranging from partial vision loss to complete blindness in both eyes, during the informed consent process. POVL from CRAO caused by external globe compression was felt by the attendees to be largely preventable with the use of appropriate positioning aids and monitoring of the external globes (eye checks) during the intraoperative period. Discussion of the rare adverse event of CRAO during the informed consent process should be left to the discretion of the anesthesia professional and surgeon.

It was further recognized that the informed consent process should include a discussion of

- The current state of understanding as to risk factors for POVL due to ION.
- The interventions that may reduce the risk of POVL due to ION.

Indeed, the value of the informed consent process to the patient is dependent on those responsible for the perioperative care to be cognizant of evolving information and strategies designed to reduce the risk of POVL caused by ION.

See “POVL Conference,” Next Page
Informed Consent Process Emphasized at APSF-Sponsored POVL Conference

“POVL Conference,” From Preceding Page

It was recognized that POVL is a rare event, that, based on its incidence (less that 0.2% of spine surgeries), might not be considered for inclusion in an informed consent by anesthesia professionals and surgeons. However, the rare occurrence of POVL caused by ION is negated by the “extreme value that patients place on vision”) as demonstrated by patients’ willingness (>80% surveyed) to accept risks of stroke or death to save some vestige of vision (Ophthalmol 1996;103:691-696). When patients who had undergone prior spine surgery were asked if they would want to be consented for the risk of POVL with prone spine surgery, more than 80% reported that they would prefer full disclosure of the risk of POVL by the surgeon in a face-to-face discussion prior to the day of surgery (Mayo Clinic Proc 2011;86:865-868).

A total of 67 questionnaire/surveys out of a possible 74 were returned by attendees at the conference who designated their affiliation as “anesthesia professionals” (http://www.apsf.org/announcements.php?id=12). Their responses to the survey questions are discussed below. The questionnaire/surveys available from the other professional affiliations were considered too few (n=12) to analyze but were recognized to be supportive of the consensus of the anesthesia professionals with respect to the need for inclusion of the risk of POVL during the informed consent process.

Of anesthesia professionals, 23.9% (16 of 27) had cared for one or more patients who had experienced ION, whereas another 46.8% (30 of 67) were aware of this complication occurring in their hospital/practice group. CRAO was infrequent with 95.5% (64 of 67) of the anesthesia professionals indicating they had not cared for a patient who experienced blindness due to this mechanism, and only 22.3% (15 of 67) were aware of this complication occurring in their hospital/practice group. Thus, for the purposes of this report, POVL will be considered to be due to ION in the subsequent sections.

The vast majority of anesthesia professionals, (86.6%) responding to the questionnaire felt that “most surgeons do not recognize the risk of ION in the susceptible patient population, whereas 52.2% felt the same was true for anesthesia professionals. Of respondents, 85.9% felt that “risk factors for ION” could be modified or eliminated by both the anesthesia professional and surgeon.

When asked if “ION should be discussed during the informed consent process” the majority of participants believed it should be included in the “informed consent form,” either as a single document for surgeons and anesthesia professionals or as a separate consent form from each specialty. The information to be included in the informed consent process can be inferred from responses to specific questions and was supported by the podium presentations, panel discussions, and small group breakout sessions. For example 60 of 67 anesthesia professionals (89.6%) agreed that the “best option available for patients and those responsible for their care is to create and adopt universal best practices management guidelines and recommendations (based on current knowledge and understanding) at their institution and to apply it to the intraoperative management of all patients considered to be at risk for ION.”

Of anesthesia professionals, 85.1% agreed that best practices management guidelines to decrease the risk of ION should be based on steps to decrease the likelihood of venous congestion and edema formation in the periorbital area/head. There was agreement among 63 of 67 anesthesia professionals that male gender, obesity, decreased percent colloid administration of nonblood replacement, and use of the Wilson frame should be added to the risk factors for developing ION following spine surgery. A need to balance colloid and crystalloid administration was supported by 58 of 67 attendees (86.6%). Most anesthesia professionals (97%) agreed that “during spine surgery, the patient’s head should be positioned level with or higher than the heart” in patients considered to be at risk for ION.

Although controlled hypotension has not been identified as an independent risk factor for ION, 57 of 67 anesthesia professionals (85.1%) agreed that “controlled hypotension should not be used routinely in patients considered to be at risk for ION.”

There was agreement among 55 of 67 anesthesia professionals (82.1%) that “consideration should be given to the use of staged spine procedures” when the duration of spine surgery is anticipated to be prolonged (preoperatively) or becomes prolonged (intraoperatively).

The need to periodically monitor hemoglobin or hematocrit to detect anemia in patients considered to be at risk for ION was supported by 60 of 67 anesthesia professionals (92.3%), while 86.6% agreed that a specific “transfusion threshold that would reduce the risk of ION related to anemia was not known.” Further, 89.6% of the anesthesia professionals agreed there is no proven treatment for ION when it manifests postoperatively.

In conclusion, the consensus of the attendees at the APSF-sponsored POVL conference may be summarized as follows:

- If the risk of POVL from ION is not part of a combined anesthetic and surgical informed consent process, or part of a separate surgical informed consent process, it should be part of the anesthetic informed consent process.
- The informed consent process may include a discussion of risk factors (prolonged spine surgery in the prone position or prolonged robotic surgery in the head down position, increased blood loss, male gender, obesity, use of Wilson surgical frame) and the current understanding of interventions that may reduce the likelihood of POVL caused by ION (minimize duration of surgery, consider staged spine procedures, keep head at or above the level of the heart, minimize use of surgical frames that place the head lower than the heart, include colloid in nonblood replacement). Discussion may include the concept that this complication is difficult to study because of its low incidence. Preventive measures are based on the our best educated guess from what we know of the risk factors but have not been tested.
- Use of controlled hypotension in patients at risk for POVL caused by ION, although not documented to be an independent risk factor, is not recommended on a routine basis.
- POVL from CRAO caused by globe compression should be preventable with the use of appropriate positioning aids and monitoring of the external globes (eye checks) during the intraoperative period.

Supporting Literature


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